



*JFW*

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

May 10, 2004  
Date

*May Paul*  
Signature

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
CHRIS SPEYER

Serial No.: 10/764,777

Filed: January 26, 2004

For: MULTI-STAGE EPI PROCESS FOR  
FORMING SEMICONDUCTOR  
DEVICES, AND RESULTING DEVICE

Group Art Unit: 1762

Examiner: Unknown

Atty. Dkt. No.: 2069.012900

Customer No.: 23720

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Since the filing date of the present application is after June 30, 2003, copies of the listed U.S. patents are not included.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

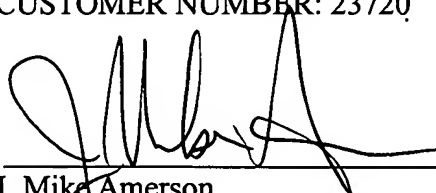
an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed within three months of the filing date of this patent application or prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2069.012500.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON  
CUSTOMER NUMBER: 23720

A handwritten signature in black ink, appearing to read 'J. Mike Amerson', is written over a horizontal line.

Date: May 10, 2004

J. Mike Amerson  
Reg. No. 35,426  
10333 Richmond Dr., Suite 1100  
Houston, Texas 77042  
(713) 934-4055  
(713) 934-7011 (facsimile)

ATTORNEY FOR APPLICANT

Form PTO-1449 (modified)

Atty. Docket No.  
2069.012900Serial No.  
10/764,777

List of Patents and Publications for Applicant's

Applicant  
Chris Speyer

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
January 26, 2004Group:  
1762U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,385,861	1/31/95	Bashir <i>et al.</i>	437	67	
	A2	5,411,913	5/02/95	Bashir <i>et al.</i>	437	67	
	A3	5,681,776	10/28/97	Hebert <i>et al.</i>	437	72	
	A4	5,683,932	11/04/97	Bashir <i>et al.</i>	437	67	
	A5	5,691,232	11/25/97	Bashir <i>et al.</i>	437	67	
	A6	5,747,353	5/05/98	Bashir <i>et al.</i>	437	21	
	A7	5,773,350	6/30/98	Herbert <i>et al.</i>	438	364	
	A8	5,780,343	7/14/98	Bashir	438	269	
	A9	5,811,315	9/22/98	Yindeepol <i>et al.</i>	437	62	
	A10	5,914,523	6/22/99	Bashir <i>et al.</i>	257	520	
	A11	5,930,635	7/27/99	Bashir <i>et al.</i>	438	313	
	A12	5,952,706	9/14/99	Bashir	257	587	
	A13	6,051,446	4/18/00	Moore <i>et al.</i>	438	36	
	A14	6,121,148	9/19/00	Bashir <i>et al.</i>	438	692	
	A15	6,362,064 B2	3/26/02	McGregor <i>et al.</i>	438	318	

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.